

GSF9701A.TDC  
AJC57:WP51  
DEB:AJC:rg  
05/19/97 S. PRO

130-105

08C0

PATENT APPLICATION  
Docket No.: GSF97-01A



05/19/97

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Walter Henry Günzburg and Robert Michael Saller

Serial No.: 08/808,827 Group: 1806

Filed: February 28, 1997

For: NON SELF INACTIVATING, EXPRESSION TARGETED RETROVIRAL VECTORS

<b>CERTIFICATE OF MAILING</b>	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>5/15/97</u>	<u>Rosemarie Goguen</u>
Date	Signature
<u>Rosemarie Goguen</u>	

TRANSMITTAL OF DECLARATION/POWER OF ATTORNEY

Box Missing Part  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts dated April 28, 1997, enclosed herewith is an executed Declaration/Power of Attorney for filing in the captioned application. A copy of the Notice is attached together with a check in the amount of \$130.00 in payment of the surcharge.

06/04/1997 PVALENTI 00000143 08808827 130.00 OP  
01 FC:105

Please charge Applicants' Attorney's Deposit Account No. 08-0380 for any additional fees that may be due in this matter. Two duplicate copies are enclosed for that purpose.

Respectfully submitted,



Anne J. Collins  
Attorney for Applicants  
Registration No. P-40,564  
Telephone: (617) 861-6240

Lexington, Massachusetts 02173

Date: *May 15, 1997*

GSF9701A.AMD  
AJC57:WP51  
DEB:AJC:rg  
May 14, 1997



05/19/97

PATENT APPLICATION  
Docket No.: GSF97-01A

#4/a  
11/8/91

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Walter Henry Gunzburg and Robert Michael  
Saller

Serial No.: 08/808,827      Group: 1806

Filed: February 28, 1997

For: NON SELF INACTIVATING, EXPRESSION TARGETED  
RETROVIRAL VECTORS

RECEIVED

MAY 04 1997

GROUP 1800

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>5/15/97</u>	<u>Rosemarie Goguen</u>
Date	Signature
Rosemarie Goguen	
Typed or printed name of person signing certificate	

TRANSMITTAL OF SEQUENCE LISTING  
AND PRELIMINARY AMENDMENT

Box Sequence  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

A Notice to Comply with Sequence Rules 37 C.F.R. §§1.821-1.825 was not received for the referenced application. However, Applicants' Attorney respectfully requests that the Sequence Listing and Preliminary Amendment be filed in the referenced application.

Please amend the above-referenced application as follows:

In The Specification:

On page 8, line 25 after "primers" insert --  
A (SEQ ID NO:1), B (SEQ ID NO:2), and C (SEQ ID NO:3) --.

On page 8, line 31 after "primers" insert --  
D (SEQ ID NO:4) and E (SEQ ID NO:5) --.

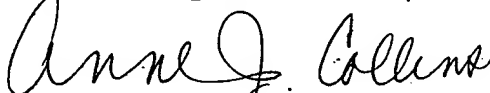
On Page 8, line 34 after "primers" insert  
-- F (SEQ ID NO:6) and G (SEQ ID NO:7) --.

REMARKS

Transmitted herewith is a copy of the "Sequence Listing" in paper form for the above-identified patent application as required by 37 C.F.R. §1.821(c) and a copy of the "Sequence Listing" in computer readable form as required by 37 C.F.R. §1.821(e). As required by 37 C.F.R. §1.821(f), Applicants' Attorney hereby states that the content of the "Sequence Listing" in paper form and the computer readable form of the "Sequence Listing" are the same and, as required by 37 C.F.R. §1.821(g), also states that the submission includes no new matter.

Please insert immediately prior to claims the "Sequence Listing" attached hereto, comprising SEQ ID NOs: 1-7, as new pages 27-29. Please renumber subsequent pages 27-32 as 30-35.

Respectfully submitted,



Anne J. Collins  
Attorney for Applicants  
Registration No. P-40,564  
Telephone: (617) 861-6240

Lexington, Massachusetts 02173

Date: May 15, 1992



05/19/97



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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08/808,827

02/28/97

GUNZBURY

W

BSF97-01A

0242/0428

DAVID E BROOK  
HAMILTON BROOK SMITH & REYNOLDS  
TWO MILITIA DRIVE  
LEXINGTON MA 02173

NOT ASSIGNED

DATE MAILED:

1806

04/28/97

### NOTICE TO FILE MISSING PARTS OF APPLICATION

*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 130.00 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☒ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.  
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are:

- ☒ missing.  
☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the response.

*B. A. Chase*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202